

REPORT

Colorado Basin Roundtable Watershed Flow Evaluation Tool Study



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Appendix E

Geomorphic Subclassification

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A Geomorphic Valley Classification for Fluvial Riparian Areas

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Executive Summary

The upper Colorado River basin contains a diverse mosaic of geomorphic settings and fluvial riparian ecosystems. From the steep, v-shaped and glacial valleys of the high country to the gentle gradients and expansive floodplains of lowland alluvial valleys, geomorphic setting mediates the relationship between hydrology and riparian ecosystems. CSU has collaborated with the US Forest Service over the last 4 years in the development of a geomorphic valley classification (GVC) for describing the key geomorphic factors that influence riparian systems across large regions. The classification is geographic information systems (GIS) based and delineates different geomorphic valley settings using energy, hillslope coupling, and lateral confinement as the primary diagnostic characteristics. The GVC derives its class descriptions from geomorphic thresholds corresponding to significant transitions in the physical processes and boundary conditions that give rise to distinct floodplain and channel forms, disturbance regimes, and ecological attributes (Table ES1).

Table ES1: Valley classification names and attributes of the GVC.

Valley Class Name	Energy / Valley Gradient	Valley Bottom Width / Coupling / Confinement	Hillslope Gradient	Energy Potential
Headwaters	> 4%	$< (2 L_D + W_{BF})$	Both > 30%	High
High-energy Coupled	> 4%	$< (2 L_D + W_{BF})$ or $< (L_D + W_{BF})$	Both or at least one > 30%	High
High-energy Open	> 4%	$> (2 L_D + W_{BF})$	Both or at least one > 30%	High
Moderate-energy Confined	0.1-4%	$< 7 W_{BF}$	Variable	Moderate

Moderate-energy Unconfined	0.1-4%	$> 7 W_{BF}$	Variable	Moderate
Canyon	Variable	$> 3 W_{BF}$	$> 70\%$	Moderate to High
Gorge	Variable	$< 3 W_{BF}$	$> 70\%$	Moderate to High
Glacial Trough**	$< 4\%$	$> (2 L_D + W_{BF})$	$\sim 10\%$ initially steepening to $> 30\%$	Moderate to Low
Low-energy Floodplain	$< 0.1\%$	$> 7 W_{BF}$	Generally $< 30\%$	Low

L_D – length of debris runout W_{BF} - width of channel at bankfull stage

** Defined as valleys with the given characteristics, lying above the elevation of the most recent glacial activity

In the GVC, energy refers to the hydraulic power available to scour and shape valley bottoms and the channels they contain. Energy is characterized using unit stream power or valley slope as its surrogate. The slope thresholds selected for distinguishing between valley energy types correspond to widely recognized shifts in hydro-geomorphic processes. For example, valleys steeper than 3-4% slope tend to contain confined step-pool and cascade channels with varying degrees of hillslope coupling. As valley slopes become less than 3-4%, the channel types gradually shift to broader floodplains containing plane bed, pool-riffle, and sandy streams.

Coupling refers to the proximity of the hillslopes to the channel and the likelihood that landslides and debris flows on those slopes may move directly across the valley bottom into the stream channel at the slope base. In coupled settings, the channels and the riparian communities occurring along them may be more influenced by materials transported directly from hillslopes (colluvium) than by materials transported from upstream by water (alluvium). In uncoupled settings, sediment recruitment and transport largely become consequences of erosion of the streambed and banks.

Finally, confinement refers constraints on the planform (e.g. meandering, braiding) and lateral adjustability of stream channels. It is quantified by comparing the width of the valley

bottom available for channel meandering and migration versus the size of the channel. A sinuous channel typically requires a minimum valley bottom width of approximately seven channel widths to freely meander. By distinguishing between coupling and confinement, the GVC provides a tool for mapping locations where hillslope processes may largely control riparian attributes versus those locations where fluvial processes dominate, as well as a method for distinguishing the degree to which valley bottom widths constrain channel patterns and floodplain processes.

A Geomorphic Valley Classification for Fluvial Riparian Areas

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Background

Riparian zones border flowing and permanent water bodies and are of great ecological and economic importance. These biologically-rich and geomorphically-dynamic areas perform numerous ecological functions that critically influence species distributions, water quality, hydrologic processes, and biogeochemical cycling. The upper Colorado River basin contains a diverse mosaic of geomorphic settings and fluvial riparian ecosystems. From the steep, v-shaped and glacial valleys of the high country to the gentle gradients and expansive floodplains of lowland alluvial valleys, geomorphic setting mediates the relationship between hydrology and riparian ecosystems.

For over a decade, riparian scientists and managers have identified a need for a robust, broadly-applicable fluvial classification for stratifying riparia across large regions (NRC 2002). In 2006, US Forest Service and Colorado State University began a project that aims to synthesize the large body of information on fluvial and riparian systems into a novel, process-based classification fluvial riparian zones in the western US. The specific five goals of this project were to: 1) examine existing fluvial classifications to identify gaps, opportunities for integration, and potential improvements to aid management of fluvial riparian systems; 2) synthesize previous knowledge in developing an *a priori* classification that is process based, hierarchical,

and geographic information systems (GIS) based; 3) develop three suites of procedures focused on quantifying system energy, hillslope coupling, and lateral confinement; and 4) test the functionality and accuracy of the classification in several USFS units.

This document summarizes the resulting Geomorphic Valley Classification (GVC) that focuses on energy, hillslope coupling, and lateral confinement as primary diagnostic characteristics. The GVC framework provides a widely-transferable framework for stratifying fluvial systems in the context of management, planning, and monitoring. For example, the GVC can aid in identifying hydro-geomorphically similar reference locations for monitoring, mapping of critical resources for future inventorying activities, and identifying resources at risk from human influences.

In this study, three sets of GIS procedures were developed for stratifying fluvial riparian settings in the upper Colorado River Basin. These procedures measure the key geomorphic descriptors in the GVC using widely-available 10-m digital elevation models (DEMs). The GVC derives its class descriptions from process thresholds that identify significant adjustments to the flow of energy and matter in systems that result in unique landforms, disturbance regimes, and ecological attributes. The open framework of the GVC prompts the user to adjust specific values for hillslope stability, colluvial debris run-out, and fluvial network density. Field testing of the GVC was completed at 42 sites in five ecoregions across the western United States to assess the correspondence between classifications completed using GIS and field data as described below.

Conceptual and Theoretical Framework

The GVC identifies thresholds that describe distinctions between dominant fluvial processes and groups variability into functionally unique classes. The defining attributes of each

class are related to the geomorphic processes most influential at a site with a particular set of physical characteristics. The characteristics are related to processes that directly result in the forms observed, disturbances likely to occur, and other physical constraints on the biota inhabiting the site. A connection between the geomorphic processes creating and maintaining fluvial landforms and the ecological community is supported by ecological theory (Gregory et al. 1991, Montgomery 1999, Goebel et al. 2006).

The conceptual framework developed for the GVC was developed by integrating several elements used in the large body of previous work on fluvial classifications of valleys, floodplains, channels, and hillslopes. A suite of fluvial processes including erosion, sedimentation, lateral migration, incision, and transport behave similarly across regions. This consistency provides a physical basis for developing a classification that can span geomorphically-distinct regions (Montgomery and Buffington, 1997; Brardioni and Hassan, 2006). A partial list of regionalized schemes, landform-specific classifications, and scale-specific studies that address the classification of valleys, streams, and riparian areas that were considered in developing the GVC are listed in Table 1.

For this investigation fluvial processes are grouped into three main spheres, which collectively describe the geomorphic setting of the valley: 1) system energy, 2) hillslope coupling, and 3) lateral confinement. Specific processes such as erosion, sedimentation, lateral migration, vertical incision, and sediment transport can be placed within one of the three spheres as described below.

Table 1: Listing of previous classification efforts and their approaches, applicability and attributes.

Group	Date	Approach	Hierarchical	Scale	Region	Advantages	Constraints
Kellerhals et al.	1976	Observational	NO	Channel	Western Canada	Extensive definitions, connects channel to valley	Relies on several qualitative variables when discussing valley attributes
Schumm	1977	Sediment, stability	NO	Channel	Great Plains	Relates sediment and power to channel form	Relies on qualitative measures
Collotzi	1976	Observational	YES	Multi	Pacific Northwest (Oregon)	Four-level hierarchy, strong definitions, incorporates channel and valley bottom	Regionally specific, ecologically redundant
U.S Department of Agriculture (USDA)	1977, 1978	Observational	YES	Multi	Pacific Northwest	Directly applied to management objectives	Regional specific, does not describe all valley geometries
Frissel et al.	1986	Process	YES	Multi	Pacific Northwest (Washington)	Links several scales, addresses temporal aspect	Not explicit with valley typology
Cupp	1989	Observational	YES	Valley Segment (300 m)	Pacific Northwest (Oregon)	Uses several variables to relate channel to valley	Regional specific, large number of types (19)
Nanson and Croke	1992	Stream Power, Sediment	YES	Channel-valley	US	Connects channel morphology to sediment and floodplain	Restricted to floodplain morphology
Knighton and Nanson	1993	Stream Power	NO	Channel	Australia	Relates energy to geomorphic conditions	Vacillates on the equilibrium nature of multiple channels
Whiting and Bradley	1993	Process	NO	Channel	Pacific Northwest	Explicitly uses process to predict form	Very limited morphological applicability, only Headwaters
Rosgen	1994	Observational	YES	Multi	US-Wide	Very detailed in definition and description	Gives no basis for thresholds
Montgomery and Buffington	1997	Process	NO	Channel	Pacific Northwest	Detailed channel morphology, forced and intermediate forms	Regional specific, not useful in lower gradient rivers
Montgomery	1999	Process	YES	Multi	Pacific Northwest	Connects process to ecological significance	Conceptual, limited quantitative measures
Brierley and Fryirs	2005	Process	YES	Multi	Australia	Addresses several major geomorphic processes	Subjective in its variables
Snelder and Biggs, Snelder et al.	2002, 2005	Process	YES	Multi	New Zealand	Uses a hierarchy of controlling factors	Relies on factors that may not be important in all areas
Church	2002, 2005	Process	NO	Channel	US	Quantifies sediment and channel morphology	Requires fine-scale data to be applied
Flores et al.	2006	Stream Power, GIS	NO	Channel	Western US	Introduced and tested drainage area as means of applying scale	Error introduced when using estimated stream power from drainage area
Jain et al.	2008	Process, Stream Power	NO	Catchment	Australia	Strong connections to sediment and stream power	Regionally-specific results, identifies single threshold

System Energy: Large-scale processes of channel pattern, landform development and orientation, and selection of resilient riparian species, have also been noted in connection with system energy (Hupp and Osterkamp, 1985, 1996; Bendix and Hupp, 2000; Twidale, 2004; Naiman *et al.*, 2005; Parsons and Thomas, 2007). In the GVC, energy refers to the hydraulic power available to scour and shape valley bottoms and the channels they contain. Energy is characterized using unit stream power or valley slope as its surrogate. The equation for unit stream power (Bagnold, 1966) is

$$\omega = \frac{\gamma QS}{w}$$

where γ is the specific weight of water (9,810 N/m³), Q is discharge (m³/s), and S is the energy slope (m/m) approximated by valley gradient, and w is channel width (m) estimated from appropriate regional hydraulic geometry relationships. The divisions between the energy classes used in the GVC are directly related to the three dominant sediment domains of the fluvial system: 1) source areas of erosion and entrainment, 2) transport reaches, and 3) extensive depositional floodplains (Nanson and Croke, 1992; Montgomery, 1999; Brierley and Fryirs, 2005). The type of material and the method of transport are related to system energy (NRC, 2002).

Slope is often used as an effective surrogate for unit stream power in predicting stream types in mountain drainage basins (Grant *et al.*, 1990; Montgomery and Buffington, 1998; Flores *et al.*, 2006). For this study, valley slopes generated from DEMs were used for distinguishing between valley energy types correspond to widely recognized shifts in hydro-geomorphic processes. For example, valleys steeper than 3-4% slope tend to contain confined step-pool and cascade channels with varying degrees of hillslope coupling. As valley slopes become less than

3-4%, the channel types gradually shift to broader floodplains containing plane bed, pool-riffle, and sandy streams.

In low energy systems with unit stream power values less than approximately 10 W/ m^2 , channels does not possess sufficient energy to effectively erode the channel banks or floodplain deposits (Nanson and Croke, 1992; Knighton, 1999), and lateral migration is minimal or very slow. Based on the definition of unit stream power for a channel with a typical discharge per unit width on the order of $1 \text{ m}^2/\text{s}$, it follows that a slope on the order of 0.001 m/m (0.1%) corresponds to a specific stream power of $\sim 10 \text{ W/ m}^2$ which has been previously identified as a threshold of stream power that separates floodplain types and behavior (Nanson and Croke 1992).

For high-energy fluvial systems, there is little consensus regarding a significant stream power value but general agreement regarding a channel gradient threshold. Many researchers agree that the shift from plane-bed / pool-riffle type channel morphologies to step-pool / cascades suggests a major shift in fluvial dynamics. This shift often occurs near 300 to 400 W/ m^2 or 3 to 4% channel gradient (Collotzi, 1976; USDA, 1992; Rosgen, 1994; Van den Berg, 1995; Montgomery and Buffington, 1997; Church, 2002; Flores *et al.*, 2006; Brardioni and Hassan, 2006; Pyne *et al.*, 2007; Wohl *et al.*, 2007; Thompson *et al.*, 2008). If a unit discharge on the order of $1 \text{ m}^2/\text{s}$ is assumed as above, a slope of 0.03 to 0.04 m/m would yield a specific stream power of 300 to 400 W/ m^2 , which corresponds to the Nanson and Croke (1992) threshold between high and moderate energy floodplains.

Hillslope Coupling: Sediment that is eroded, transported, and deposited as a result of fluvial processes is ultimately derived from the adjacent uplands, albeit potentially from distant upstream areas (Sear *et al.*, 2003). Shallow landslides and debris flows are often smaller, occur

more frequently, and occur on more varied terrain than deep-seated or fault-driven landslides. The contribution of material to the valley bottom or channel can have significant effects on local erosion and deposition, mechanically damage vegetation and adding to the heterogeneity of surfaces in the immediate area and considerable lengths downstream. For this reason, the GVC addresses the potential for colluvial debris to deposit on the fluvial valley bottom.

Coupling refers to the proximity of the hillslopes to the channel and the likelihood that landslides and debris flows on those slopes may move directly across the valley bottom into the stream channel at the slope base. In coupled settings, the channels and the riparian communities occurring along them may be more influenced by materials transported directly from hillslopes (colluvium) than by materials transported from upstream by water (alluvium). In uncoupled settings, sediment recruitment and transport largely become consequences of erosion of the streambed and banks.

The capacity for a hillslope to exert influence on the riparian system is correlated with both gradient and proximity to the fluvial valley bottom. The stability of a slope helps characterize its capacity to transfer material to the valley bottom and/or channel by methods other than simple surface erosion (USDA, 1992; Williams *et al.*, 2000; Benda *et al.*, 2007). The valley width is a key control on the probability that the colluvial material will encounter the channel and affect flow. Together these two geomorphic variables can be used to approximate the likelihood of colluvial debris being generated on the hillslope and depositing on the fluvial valley bottom (Whiting and Bradley, 1993).

A simple measure of the capability for adjacent hillslopes to generate colluvial material is the composition of slope gradients. Three hillslope gradient classes are designated in the GVC by two user defined thresholds: one describing the lower limit of unstable slopes and the other

gradient above which shallow landslides and debris flows are common (Whiting and Bradley, 1993; Montgomery, 1999; Clarke and Burnett, 2003). Default values of hillslope angles used in the GVC were selected based on a review of several previous studies and recognized classifications. Previous work supports a lower threshold of 30% (Collotzi, 1976; Cupp, 1989; USDA, 1992). An upper threshold of ~70% is more uncertain, in part, because regional values of hillslope stability are so widely varied.

The colluvial potential of a valley is simplified into a single value termed the “coupling statistic” (Coup_stat), following Whiting and Bradley (1993). The equation below shows how the length of potential colluvial input deposits (Dr) is related to the “un-channelized valley width” (width of the *total* valley bottom – width of the bankfull channel). The “# of ‘steep’ sides” is used to treat the valley as having two, independent hillslopes, each with influence.

$$Coup_stat = \frac{(\# \text{ of 'steep' sides}) * (Dr)}{W_v - W_{bf}}$$

Lateral Confinement: In most situations, the channel occupies only a portion of the valley bottom at bankfull stage. The un-channelized valley bottom is subject to becoming incorporated as active channel if lateral migration occurs. Lateral confinement can affect the development of extensive floodplains (Dodov and Foufoula-Georgiou, 2005) and the dynamics of floods, which are important considerations for riparian extent, biological composition, and ecological function (Hupp and Osterkamp, 1985, 1996; Bendix and Hupp, 2000; Quinn *et al.*, 2000). The concept of lateral confinement has the advantage of scaling to the size of the system.

A common approach to examining confinement is to apply thresholds related to meander geometry advanced by Leopold and Wolman (1960), Leopold and Langbein (1966), Ferguson (1973, 1979), and others. Much of the work on meander geometry originates with the sine-

generated wave introduced by Langbein (1966). This graphical approach mirrors concepts behind the adjustments in channel form as meanders and the sine-generated wave minimize the changes in direction and work performed by the system. Several mathematical relationships have been identified that describe the geometric shape of meandering channels and their cross sectional profiles (see Leopold and Wolman, 1957, 1960; Langbein, 1966; Leopold and Langbein, 1966; Williams, 1986; Hagerman and Williams, 2000; Soar and Thorne, 2001).

Two distinctive geometric characteristics of meanders of any origin are: 1) amplitude and 2) wavelength. Williams (1986) examined dozens of ways these and other attributes of channel meanders can be related to each other. Here we use the relationship between meander amplitude and wavelength, herein referenced as A and λ , respectively, to identify the threshold at which free-lateral adjustment becomes impeded. This relationship assumes a sine-generated curve (Leopold and Langbein, 1966). Hagerman and Williams (2000) developed a third-order polynomial to calculate the meander amplitude using λ as the independent variable:

$$A = \lambda(6.0625\varphi^3 - 5.1279\varphi^2 + 2.509\varphi + 0.0005).$$

The term φ is $(P - 1)/P$, where P is the sinuosity. Wavelength cannot always be directly measured; however, but Soar and Thorne (2001) proposed a relationship between bankfull channel width W_c and λ based on a large meta-analysis of meandering rivers around the world: $\lambda = 12W_c$. The polynomial relationship for A above can be rearranged to calculate meander belt width (B) by substituting $12W_c$ for λ and adding a channel width:

$$B = (12(6.0625\varphi^3 - 5.1279\varphi^2 + 2.509\varphi + 0.0005) + 1)W_c$$

The additional channel width accounts for meander amplitude being measured between two points located in the center of the channel. For confinement, interest is in the outer edges of the channel.

To arrive at a threshold for the minimum unconfined valley width, the conventional definition of a meandering channel as maintaining a minimum P of 1.5 is used (Leopold and Wolman, 1957, 1960; Van den Berg, 1995). It follows from the relationship for B that the threshold for the minimum valley bottom width that can contain the belt width of a meandering channel with a P of 1.5 is approximately $7Wc$. To the extent that sine generated wave meander geometry is a reasonable approximation, this value is scale independent and transfers between regional physiographic boundaries.

A second threshold is identified for riparian settings in highly-confined situations. Lateral migration of the channel is not an option for these narrow valleys, but confinement is nonetheless still important. A shift in channel morphology from single thread to braided has been shown to be related to channel slope, sediment load, and variable discharge (Leopold and Wolman, 1957; Schumm and Khan, 1972; Fredsoe, 1978). This results in a dramatic change in the width- to-depth (W/D) ratio from $\sim 25:1$ for single-thread channel while braided channels are often twice that, at $50:1$ (Fredsoe, 1978). A valley width index (VWI) (the ratio of valley width to bankfull channel width) of 2 has been highlighted as a threshold separating systems where there is no ability to maintain long-term depositional features. Transient floodplain features are critical in these habitats, but a characteristic alluvial floodplain does not develop. This value is supported by several established regional valley classifications (Collotzi, 1976; Cupp, 1989; Rosgen, 1996; O'Connor and Watson, 1998).

Hierarchical Organization

The three spheres of processes create a strong foundation for the development of a fluvial classification as they describe the significant forces which shape the valley, floodplain, and channel. The balance between explanatory power and complexity is a common struggle in scientific investigations. Each process can be quantified with a simple surrogate from readily available GIS-data layers with relatively simple, well-known procedures. Even within the GVC, a hierarchy exists for the geomorphic variables (see Figure 1) and is critical in the determination of the final valley classes (Table 2). The interplay between the three processes highlights the interconnectedness of the fluvial and hillslope components (Brierley and Fryirs, 2005; Wohl *et al.*, 2007).

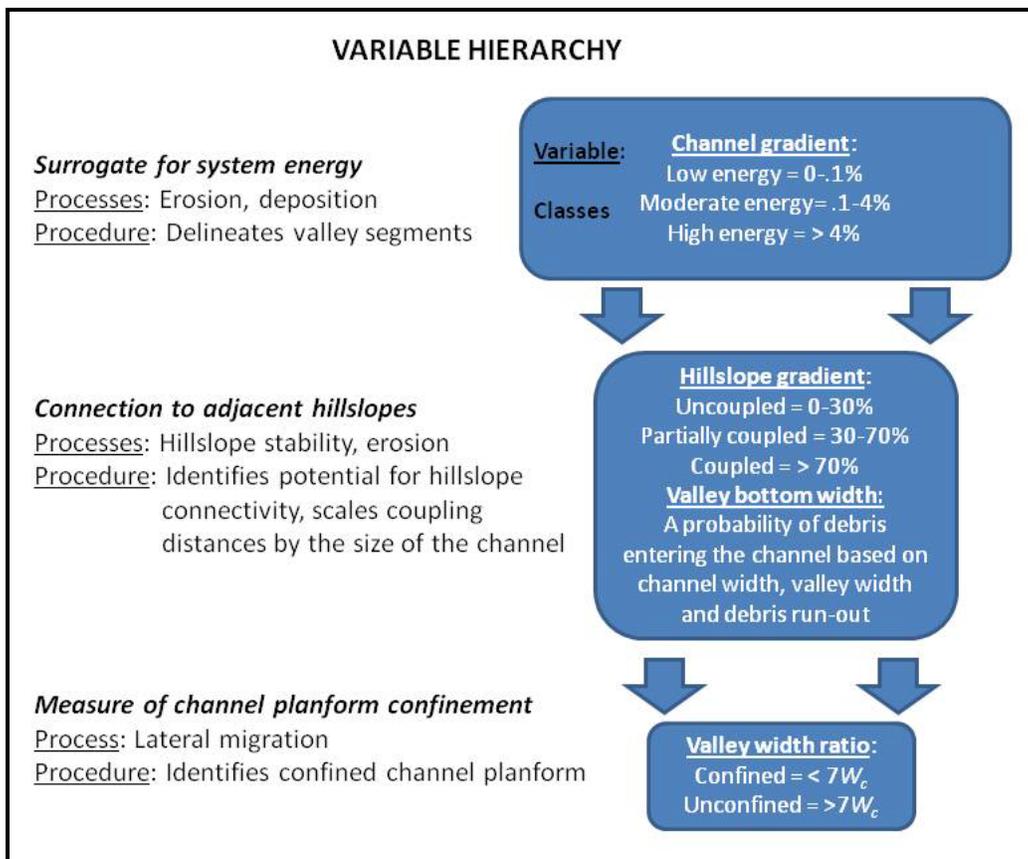


Figure 1: The variable hierarchy used to constrain processes and landforms at successively finer scales.

A direct comparison between the approach to valley classification presented by Rosgen (1994, 1996) and classes of the GVC defined in Tables 2 is warranted as Rosgen's approach has been widely used for the last fifteen years in public and private land management (Table 3).

Table 2: Valley classification names and attributes of the GVC.

Valley Class Name	Energy / Valley Gradient	Valley Bottom Width / Coupling / Confinement	Hillslope Gradient	Energy Potential
Headwaters	> 4%	$< (2 L_D + W_{BF})$	Both > 30%	High
High-energy Coupled	> 4%	$< (2 L_D + W_{BF})$ or $< (L_D + W_{BF})$	Both or at least one > 30%	High
High-energy Open	> 4%	$> (2 L_D + W_{BF})$	Both or at least one > 30%	High
Moderate-energy Confined	0.1-4%	$< 7 W_{BF}$	Variable	Moderate
Moderate-energy Unconfined	0.1-4%	$> 7 W_{BF}$	Variable	Moderate
Canyon	Variable	$> 3 W_{BF}$	> 70%	Moderate to High
Gorge	Variable	$< 3 W_{BF}$	> 70%	Moderate to High
Glacial Trough**	< 4%	$> (2 L_D + W_{BF})$	~ 10-% initially steepening to > 30%	Moderate to Low
Low-energy Floodplain	< 0.1%	$> 7 W_{BF}$	Generally < 30%	Low

L_D – length of debris runoff W_{BF} - width of channel at bankfull stage

** Defined as valleys with the given characteristics, lying above the elevation of the most recent glacial activity

Table 3: A comparison between GVC valley classes and Rosgen (1994) valley types.

GVC				Rosgen (1994)			
Valley Class	Channel Gradient	Confinement	Hillslope Gradient	Valley Type	Channel Gradient	Confinement	Hillslope Gradient
Headwater	> 4%	Confined	Steep	1	> 2%	Confined	Steep
High-energy Coupled	> 4%	Confined	Steep	1	> 2%	Confined	Steep
High-energy Open	> 4%	Unconfined	Steep	2, 3, 6, 7	> 2%	Moderately confined	Moderate-Steep
Moderate-energy Confined	0.1 - 4%	Confined	Low-Steep	2, 3, 6, 7	< 4%	Confined-Moderately confined	Moderate-Steep
Moderate-energy Unconfined	0.1 - 4%	Unconfined	Low-Steep	6, 9	< 2%	Moderately confined	Moderate
Canyon	Variable	Confined	Steep	4	< 2%	Confined	Steep
Gorge	Variable	Confined	Steep	4	< 2%	Confined	Steep
Glacial Trough	< 4%	Unconfined	Moderate-Steep	5	< 4%	Unconfined	Moderate

Low-energy Floodplain	< 0.1%	Unconfined	Low- Moderate	8, 9, 10, 11	Low	Unconfined	Low
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GIS Application of the Geomorphic Valley Classification

A suite of spatial techniques for analyzing river networks was developed parallel to the GVC in a robust computerized mapping environment. The code, scripts and spatial tools from ESRI ArcGIS v. 9.3 were manipulated in a protocol that yields the information about a given valley necessary to classify it using the GVC approach. Unique and novel portions of the coding are described below.

Data inputs: The single data input into the GVC Mapping Protocol is a 10-m resolution digital elevation model (DEM). Regional parameters are defined by the user as prompted, including hillslope stability analysis, elevation of glacial influence, and regression equation coefficients for estimating bankfull channel width (see Faustini et al. 2009). Defaults are provided within the Protocol, but are based on regional mean values.

Three spatial analysis modules: The spatial analysis of a given river network in the GVC can be broken down into three fundamental spatial analysis modules. The first module identifies the initial valley segments using a series of steps to create and smooth channel gradient into a measure of valley gradient. The raster cells are then reclassified into one of three gradient classes (High, Moderate and Low-as defined in Table 2) and grouped together. Groups of cells less than a user defined minimum are eliminated and the adjacent groups “extend” or “grow” into the empty network spaces. This gives a minimum length for valley segments. We recommend nothing smaller than 200m, as channel scale processes become more dominant below that distance and are more difficult to predict in GIS with current data limitations. The resulting segments are used throughout the remaining analysis as the defined valley segment network.

The second module examines the topographic and hydrologic attributes of each valley segment individually. A Q100 discharge (100-yr peak flow) is estimated for every valley segment using the equations from the USGS National Stream flow Statistics Program (<http://water.usgs.gov/osw/programs/nss/summary.html>). This module employs Manning's equation to the DEM in order to extract the flood elevation that corresponds to the volume of water predicted by the Q100 estimation. The flood elevation is used to create the 'hydrologic' valley bottom. It is also used to limit the extent to which the landscape is analyzed to identify breaks-in-slope.

Following the NRP riparian definition, the Q100 is the default riparian boundary, but we wanted to incorporate the unique topography into a more descriptive valley extent. The horizontal area within a vertical distance above the channel (related to Q100) is used to define the area over which to examine breaks-in-slope. This extent is mirrored by a lower extent, defined as a factor of bankfull channel width, essentially creating an annulus (Figure 2) where the analysis of the break-in-slope occurs.

The *lowest* values of the curvature are the *most* concave cells (darker red). The 20% most concave cells (lowest values) within the area of analysis are extracted and their elevation above the channel is averaged and used to create a second valley bottom layer is created. These two layers are combined by reporting only the areas where they overlap, the *hydro-geomorphic* valley bottom (Figure 3). This is the ultimate representation of the valley extent used in the final classification.

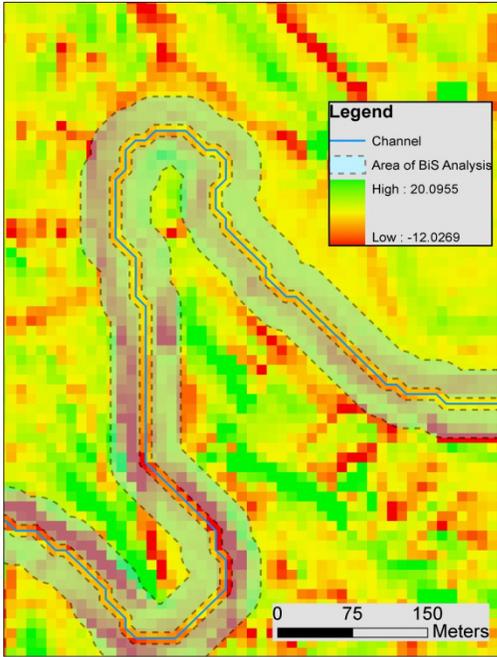


Figure 2-The area used to analyze the topography for breaks in slope

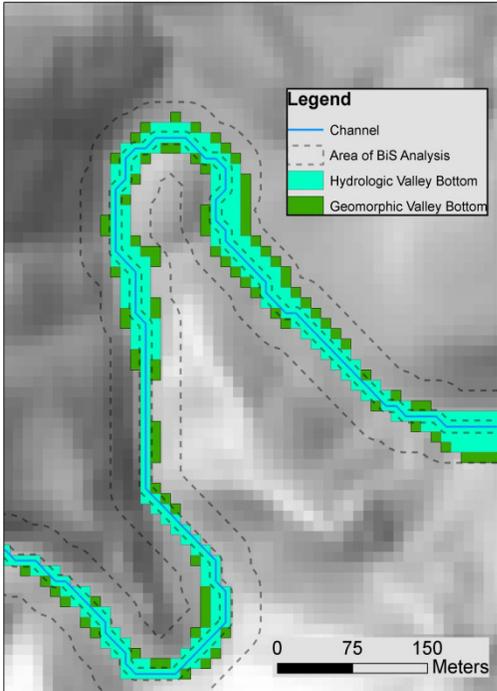


Figure 3-Overlay of hydrologic and geomorphic valley bottoms

The final module examines the adjacent landscape for its potential influence on the valley bottom through confinement and colluvial inputs. The adjacent slopes are categorized by gradient into three classes (Steep, Moderate, Low). All three affect confinement of the channel, while only Steep and Moderate have the potential to contribute colluvial material and are employed as the “steep’sides” variable from Equation 4. It would be very difficult to examine hillslopes using cross-sections oriented transverse to the channel to obtain the slopes necessary for categorizing gradient. Instead we use a composition of the slopes, as a percentage of the slope in each of the three gradient classes. The proportions then give a slope its final category.

The second and third modules described above are completed automatically following the proper designation of files and parameter inputs. It is important to note that the valley bottoms are created and hillslopes analyzed on *a segment by segment* basis which makes this a more robust methodology.

Final Classification

The final classification is performed when all spatial layers have been created. Two additional calculations are completed to give values such as the ratio of valley width the channel width (used to determine confinement) and the coupling statistic. The entire protocol is set up in a series of three main steps: 1) create the initial valley segments, 2) manually edit inconsistencies in the valley segments and 3) create the valley bottom, analyze the hillslopes and classify the valley. Step 1 is a tool built in ArcGIS 9.3 and Step 3 is a Python script run within ArcMap as tool. Step 2 is a necessary manual step that allows the user to become familiar with the outputs to identify and address any errors.

Field Testing

Three study regions in three western states were chosen to test the GVC; two were located on USFS land and one was located on a combination of Bureau of Land Management (BLM), State of Wyoming, and private land. Forty-two field sites were visited: 17 in Arizona, 14 in Colorado, and 11 in Wyoming. Five ecoregions were encountered, each characterized by different climates, geology, and supporting unique species pools. Sizes of the watersheds examined were varied to capture differences inherent with gross size of the system (Table 7.2). Vegetation was dramatically different between the three study regions, ranging from desert to deep coniferous forests. Flow regimes also varied dramatically; for example, Cherry Creek in Tonto NF records its highest average monthly discharge in February and lowest in June, while the Fraser River in the Arapahoe NF records the exact opposite trend (USGS, 2009).

Table 4: Study area basin parameters showing the major stream name, USFS unit, drainage area, eco-region, vegetation, and landscape character.

Stream Name	Forest	Drainage Area	Eco-region	Upland Vegetation	Landscape
Green River	N/A	~19400 km ²	Temperate Desert	Sagebrush	Rolling
Black's Fork	N/A	~9500 km ²	Temperate Desert	Sagebrush	Rolling
Pinal Creek	Tonto	515 km ²	Tropical / Subtropical Steppe	Spruce/Pine forest, Semi-arid shrubs	Mountain headwaters, rolling
Pinto Creek	Tonto	482 km ²	Tropical / Subtropical Steppe	Arid - Semi-arid shrubs	Rolling
Cherry Creek	Tonto	720 km ²	Tropical / Subtropical Steppe; Tropical / Subtropical Regime Mountains	Spruce/Pine Forest, Semi-arid shrubs	Mountain headwaters, rolling
Williams Fork	Arapaho	370 km ²	Temperate Steppe Regime Mountains	Spruce/Pine/Fir forest	Mountain
St. Louis Creek	Arapaho (Fraser Experimental Forest)	96 km ²	Temperate Steppe Regime Mountains	Spruce/Pine/Fir forest	Mountain
Fraser River	Arapaho	78 km ²	Temperate Steppe Regime Mountains	Spruce/Pine/Fir forest	Mountain

N/A – not applicable

The under-estimation of slope values in GIS has been well-documented (Bardioni and Hassan, 2006). This investigation proved no different, as field values were higher than GIS values by 160, 83, and 48% for the Wyoming, Arizona, and Colorado study regions,

respectively. Of the 42 field sites, 17 had channel gradients misclassified in GIS that led to the designation of a different energy regime. The under-estimation of gradient in GIS was common throughout all study regions and prompted the lowering of the GIS threshold between High- and Moderate-energy from 4% to 3%.

For the valley bottom width variable, the discrepancies between field and GIS values were the lowest of any variable. Differences were 27, 58, and only 8% for Wyoming, Colorado, and Arizona study regions, respectively. This was not expected and suggests that the measure of confinement is the most robust geomorphic variable for this data set. HEC-GeoRAS comparison to GIS data showed vast differences in the fluvial valley bottom extent identified by the modeled 100-yr flood extent and the GIS method used in the GVC. As predicted, the GVC method for identifying valley extent performed better at the 1-m scale compared to the 10-m scale when using the Q_{100} extent from HEC-GeoRAS as the “true” extent.

Brardioni and Hassan (2006) also found that hillslope angles measured in GIS were routinely under-estimated in steep areas, and over-estimated in low gradient, depositional environments. As expected, the least amount of difference occurred in the Colorado study region. Here slopes were generally steep, which means that the contour lines from which the DEM was digitized and subsequently interpolated between are closer together. This equates to more data points within a given area and thus a more accurate value. The values in the Arapaho NF in Colorado had the differences of 20 to 50% between GIS and field-observed values. Hillslopes in Wyoming were the most poorly represented with disparities between field-observed values and GIS of values of 150 to 250%.

The poor identification of vertical hillslopes was most apparent in Arizona, where several Canyons were misidentified because they lacked the excessively steep hillslopes characteristic of

Canyons. Vertical and nearly vertical hillslopes are the key delineative criteria for Canyons and Gorges, along with a narrow valley bottom width. Lacking accurate hillslope classification likely led to the misclassification of Canyons as Moderate-energy Confined and High-energy Coupled valley classes.

In general, the testing, results, and subsequent analysis of the GVC classification and the GIS procedures illuminated some interesting successes and shortcomings. Qualitative field observations of the GVC generally succeed in identify logical breaks in geomorphic and ecological function at the valley scale; however, the quantification for the classification and the GIS procedures was not statistically robust, owing to the limited number of “sites” (42) in the field spread between 3 study regions, and 22 cross sections in HEC-GeoRAS. Despite these limitations, general patterns and shifts in valley setting could still be detected using the GIS-based approach.

Summary

The Geomorphic Valley Classification is a framework for stratifying fluvial riparian systems that aids in regional and landscape scale management decisions. The process-informed classification is simple, requires minimal data inputs, and is executed using a common GIS platform. The thresholds separating process groups are supported by detailed hydrologic, geomorphic and hydraulic research. In particular, the GVC builds upon work by Montgomery and Buffington (1993), Nanson and Croke (1992), Whiting and Bradley (1993) to create a widely applicable, process-based hierarchy to classify river networks. The GIS procedure has been developed as a semi-automated protocol for creating the necessary data layers to accurately assess the geomorphic structure of river networks. The open framework prompts users to specify

local or regionally-calibrated values for thresholds such as the lower limit for unstable hillslope angle, debris run-out length, and a contributing area for valley initiation. Additional details on the GVC methodology are presented in Carlson (2009).

The GIS procedure was developed as a mix of accepted approaches, modified existing methods, and novel means of extracting geomorphic information from readily available DEM data. A novel approach to delineating fluvial valley bottoms was introduced at the center of the GIS procedures. The method for quantifying valley bottom width identifies changes in gradient of the land adjacent to the channel. This method extends a similar approach to evaluating relative surface slope and elevation as a method to characterize landforms (the TPI from Jenness (2006)) by adding components of hydrology to constrain the measurements around the channel.

Errors begin to accumulate immediately in GIS when using remotely-sensed data because the input layers are approximations of true values. Errors are further introduced by GIS procedures and smoothing algorithms. An analysis of error propagation was not performed in the study but it is understood that significant differences between remotely-sensed values and field values exist in some instances. For example, results indicate that the present GIS procedures do not perform satisfactorily when identifying channel gradients less than 0.1%.

With a limited number of test sites (42 field and 22 HEC-GeoRAS), the relatively large number of valley classes (9), and the amount of climate, geologic, and vegetation variability among the six ecoregions does not allow accurate estimates of misclassification rates. A larger data set of verified valleys would permit the examination classification strengths and perhaps illuminate problems with threshold values or measurement techniques.

The regions of the western US where the GVC is hypothesized to be the most accurate are in areas with high relief and wider valley bottoms. High-relief areas will provide a more

accurate measure of channel and hillslope gradients, and wider valley bottoms limit the effect of horizontal data resolution on smaller valleys. In general, the technique performed relatively well in Colorado in the estimation of slope and hillslope angles as compared to other regions.

Regions of expected poor performance include extremely flat systems, with gradients less than 0.1%. The current measurement techniques cannot examine slopes below this value. Land highly dissected with canyons or arroyos are also expected to have higher misclassification rates because the valley morphology is often too narrow for the horizontal resolution of the 10-m DEMs. Highly-dissected regions also have the issue that the hillslopes may not be 150-m long, and land that drains to a different channel or the inclusion of a nearby valley bottom could skew the hillslope categorization. LiDAR or field reconnaissance would be necessary to identify canyons or arroyos with widths less than 10 m.

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